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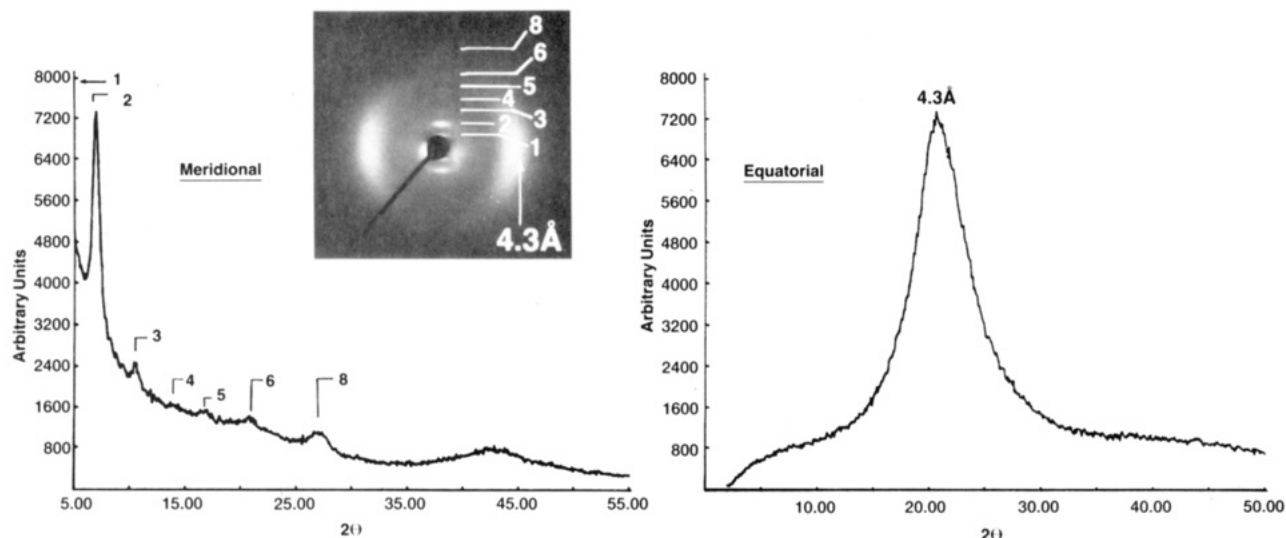


Figure 1. Meridional (left) and equatorial (right) patterns of poly(ester amide) $y = 3$, $x = 7$. The corresponding flat-plate camera photograph is shown in the insert.

Nevertheless, the repeat distances of poly(ester amides) with $y = 2$ indicate their chains to be tilted relative to the draw direction.

The directional changes indicated above are associated with bends in the chain brought about by replacing one or more trans conformations in the alkylene segments by gauche conformers. From XRD patterns of several poly(ester amides) in which either x or y were held constant while the other was systematically changed, the contribution to the repeat distance in the draw direction due to incremental addition of methylene units can be assessed. A trans conformation of bonds along an alkylene chain contributes 1 Å or more to its projected length in the draw direction, while a gauche conformation of bonds, causing a bend in the chain, produces a far smaller contribution. Thus, the existence of trans or gauche conformations in the x or y segment can be determined from careful evaluation of the incremental contribution of additional methylene groups to the repeat distance in the draw direction.

Many polymer studies in the literature infer that that solid-state carbon-13 NMR lacks sufficient resolution to clearly differentiate between trans and gauche conformations of the bonds about an ester " α -CH₂" group. By our convention, the α -CH₂ is the methylene connected on one side to the ester oxygen and on the other side to another methylene group. However, a few reports indicate that several polymers do produce resonances for trans and gauche conformations about α -CH₂ with chemical shifts that are sufficiently resolved to permit assignment. Among such polymers are polypivalolactone,⁴ which is highly crystalline, and the somewhat less crystalline poly(ethylene terephthalate).⁵ We have found that similar resolution of trans and gauche conformations about the α -CH₂ is possible in the case of poly(ester amides).

In this work both X-ray and solid-state carbon-13 NMR techniques were combined to detect where the bends are located in the poly(ester amide) chains in the crystalline and mesomorphic states.

Experimental Section

Wide-angle X-ray diffraction (XRD) patterns were obtained at ambient temperature from powdered samples, unoriented molded films, and heat-treated quick-quenched films by using a Philips APD 3600 automated diffractometer operating in parafocus mode and using monochromatized copper K α radiation. The same procedure was used to obtain the equatorial reflections

from oriented samples. Transmission scans were obtained on a Huber two-circle diffractometer to record the meridional data. Flat-plate photographs were obtained from all oriented samples by using a Unicam X-ray camera. To obtain oriented films, the poly(ester amides) were molded above their clearing points to produce films 0.25 mm thick. These films were quick-quenched and XRD patterns indicated them to be amorphous. Strips were cut from the films, heated to the point where intense birefringence appeared when observed by a cross-polarized light microscope, stretched by hand at this temperature to draw ratio of 3–5, and then rapidly quenched in ice/water mixture. Highly crystalline strips and those for $y = 2$ polymers could not be significantly drawn and tore in the process.

Solid-state carbon-13 NMR spectra were obtained by using cross-polarization (CP) and magic angle spinning (MAS) techniques^{6–8} at 50.3 MHz on a Varian XL-200 spectrometer equipped with Doty Scientific solids accessories. Powdered samples were spun at 3.5–4.5 kHz. The magic angle was adjusted to within 0.1° by using the ⁷⁹Br spectrum of KBr.⁹ Spectra were acquired with a 2-ms contact time and a 2-s repetition time. Solution NMR spectra were also obtained at 50.3 MHz by using the same Varian XL-200 spectrometer. All carbon-13 spectra were referenced externally to tetramethylsilane at 0.0 ppm.

Results and Discussion

X-ray Diffraction. The repeat distances in the draw direction were obtained from the first and higher order d -spacings in the meridional direction ($00l$) of patterns obtained from appropriately oriented specimens. These were either semicrystalline or quick-quenched from the mesomorphic state. The results of flat-plate photographs were in agreement with the meridional scans in transmission mode on oriented specimens and with the diffractometer scans in parafocus mode from powdered samples. Figure 1, for the poly(ester amide) $y = 3$, $x = 7$, is typical. The $00l$ diffraction spacings correspond to the repeat distances along the draw direction (fiber axis) and are listed in Table I. Quite a few of the reported repeat distances are averages of several results obtained in different scans of single batches or several batches of each polymer. Also listed in Table I are the lengths of the fully extended chemical repeat units (all alkylene bonds between the ester oxygens and amide carbonyls in the trans conformation) calculated from data of Flory¹⁰ and Manzini et al.¹¹ and the tilt angle between the chain and the draw direction. The increments per added methylene group, calculated from the differences in the ($00l$) diffraction spacings, are listed in the last column of Table I. The d -spacings do not display any odd-even effect and mon-

Table I
Analysis of X-ray Diffraction Patterns of
Poly(ester amides)

polymer		calcd chain-axis, Å ^a	obsd fiber-axis repeat, Å	tilt angle btwn chain- and fiber-axes, deg	obsd increment along fiber-axis per CH ₂ , Å
y	x				
3	1	22.9	18.5	36	
3	3	25.4	21.3	33	1.4
3	4	26.7	22.6	32	1.3
3	7	30.5	26.4	30	1.3
3	8	31.8	27.8	29	1.4
3	11	35.6	31.7	27	1.3
3	14	39.4	35.0	27	1.1
5	11	38.1	32.0	33	
5	14	41.9	35.6	32	1.2
9	3	33.0	29.8	25	
9	4	34.3	31.0	25	1.2
9	7	38.1	33.3	29	0.8
9	8	39.4	34.3	29	1.0
9	11	43.2	37.5	30	1.1
9	12	44.5	38.6	30	1.1
9	14	47.0	41.0	29	1.2
2	14	38.1	34.6	25	
3	14	39.4	35.0	27	0.4
4	14	40.6	35.2	30	0.2
5	14	41.9	35.6	32	0.4
9	14	47.0	41.0	29	1.4
2	7	29.2	26.3	26	
3	7	30.5	26.4	30	0.1
4	7	31.8	26.6	33	0.2

^a Calculated from data in ref 10 and 11. The chain-axis projection of a trans CH₂-CH₂ bond is 1.27 Å.

otonically increase with increased x . These facts together with the rather constant tilt angle imply that the overall nature and symmetry of chain packing in the crystalline unit cell is about the same for all poly(ester amides) with $x > 4$.

It can be seen in Table I that whenever y was held constant and x incrementally increased, the corresponding increase in d -spacing was in the range of 1.0–1.4 Å per CH₂ group, except for one sample for which the increment was 0.8 Å per methylene unit. On the other hand, when x was held constant and y changed from 2 to 3 to 4 and on to 5, the incremental additions to the d -spacings were in the range 0.1–0.4 Å. Only upon changing from $y = 5$ to $y = 9$ did the incremental change of the d -spacings increase to 1.35 Å for the bonds above and beyond those counted for $y \leq 5$. The addition of 1.0–1.4 Å indicates that a trans conformation was added to the alkylene chain, while an addition of only 0.1–0.4 Å indicates that a gauche conformation is associated with the newly added methylene. Thus, our data indicate that in the crystalline state the x -alkylene segments are fully extended and all the C—C and C=O bonds are in the trans conformation.

The XRD data alone are insufficient to determine the conformations in the y -segments when $y = 2$. However, the XRD data do tell us that the additions to obtain $y = 3, 4$, and 5 are all associated with the presence of gauche conformers. It can be stated, therefore, that the introduction of gauche conformations into the chain, and the associated chain-bend, is limited to the y -segments, i.e., in between the ester groups. The addition of methylenes to $y = 5$ in order to form polymers with $y = 9$ is associated with incremental addition of only trans conformers. One may speculate that the number of gauche conformations needed for bending the chain is already satisfied when $y = 5$ and there is no geometrical or energetic need to add more gauche conformations.

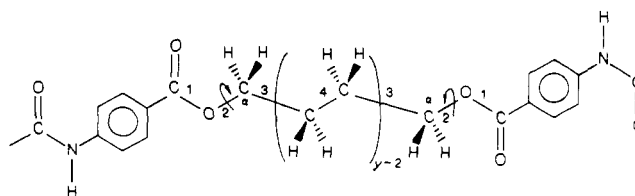
Table II
Carbon-13 NMR Chemical Shifts of α -Methylenes in Solid
Poly(ester amides)

polymer			polymer		
y	x	chem shift, ^a ppm	y	x	chem shift, ^a ppm
2	4	60.9	4	4	65.5
2	14	61.1	4	14	65.2
3	4	61.0	5	4	64.9
3	6	63 (br)	5	14	65.0
3	8	63 (br)	9	4	65.4
3	14	63 (br)	9	14	65.7

^a Unless indicated otherwise, all resonances are sharp.

X-ray diffraction patterns of the mesomorphic phases of the poly(ester amides) were obtained at elevated temperatures and also at room temperature from samples quick-quenched from the mobile mesomorphic phases.¹ Mesomorphicity was observed in polymers only when $y \geq 3$ and never when $y = 2$. The d -spacings and repeat distances along the overall chain direction in the mesomorphic state were remarkably similar to the corresponding ones obtained from the crystalline and oriented solids listed in Table I. Because of the great similarity in repeat distances, we believe that the above description of extended x -segments and twisted y -segments when $3 \leq y \leq 5$, and partly twisted $y = 9$ segments, is valid for at least the first mesomorphic phase above the crystalline state.

Solid-State Carbon-13 NMR. Solid-state carbon-13 NMR was pivotal in determining the conformational nature of the $y = 2$ segments and the location of the gauche conformers in the y -segments of the other poly(ester amides). The assignment of solid-state ¹³C NMR peaks was based on literature data^{4,5} and model compounds such as 1,3-propanediol bis(*p*-aminobenzoate) and 1,3-propanediol bis(*N*-*n*-nonyl-*p*-amidobenzoate). Solution spectra were also obtained from these model systems as well as the poly(ester amides) $y = 3, x = 6$ and $y = 3, x = 8$. All the poly(ester amides) give resonances between 60 and 70 ppm that can be assigned to the α -CH₂ groups of y , attached to oxygen:



The chemical shifts obtained by solid-state ¹³C NMR from several poly(ester amides) are listed in Table II. The shifts for $y = 2$ and $y = 4$ are easily understood. Only $y = 3$ presents special problems. In poly(ethylene terephthalate) (PET), a good model for $y = 2$, a sharp resonance at 61.5 ppm and a broad resonance at 64.5 ppm can be assigned respectively to the crystalline and amorphous fractions of the polymer.⁵ X-ray diffraction analysis¹² of crystalline PET shows a trans-trans-trans conformation of the glycol O-CH₂-CH₂-O bonds. Infrared, Raman, and ¹H NMR spectroscopy show these bonds to be predominantly trans-gauche-trans in amorphous PET in the melt, glass, and solution.^{13–18} Therefore, peaks around 61.0 ppm can be assigned to a trans-trans-trans conformation of the ethylene glycol sequence in the y -segments of the $y = 2$ poly(ester amides).

The α -CH₂ resonance appears at 65 ppm for both samples with $y = 4$. This is a typical chemical shift for α -CH₂ groups of linear alkyl esters with over four carbon atoms in the chain and is observed in both solution and solid-state spectra. This resonance is expected to shift to higher field

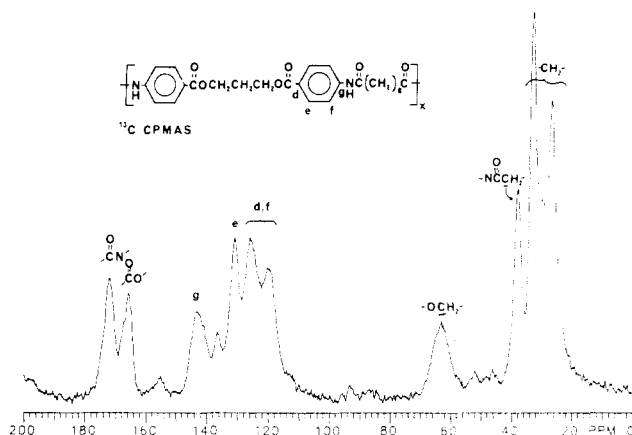


Figure 2. Carbon-13 NMR spectrum of poly(ester amide) $y = 3$, $x = 8$ obtained by using cross-polarization and magic angle spinning techniques. The broad peak centered at 63 ppm is for the α -CH₂ carbon. In the cases of $y = 2$ and $y \geq 4$, sharp resonances for α -CH₂ appear at 61 and 65 ppm, respectively. Secondary peaks in the scan are spinning side bands.

by 3–4 ppm if bond 4 were in a gauche conformation, due to the γ -gauche effect,¹⁹ but it is unaffected by the conformations of bond 3. In fact, there is considerable evidence that there is little difference in energy between the gauche and trans conformations around bond 3.^{20–25} A system comparable to $y = 4$ is poly(butylene terephthalate) (PBT). Here, both X-ray and infrared studies indicate that in its relaxed crystalline state the CH₂CH₂CH₂CH₂ segment is present in a gauche(+)-trans-gauche(-) conformation,^{20–23} although a recent solid-state NMR study²⁶ questions this interpretation. However, this study does point out that a gauche conformation about the 3 bond in esters of butylene glycol ($y = 4$) produces an upfield shift of the internal methylene groups but does not alter the chemical shift of the α -CH₂'s. In our poly(ester amides) the resonances for the internal methylenes of the diester segments are not resolved from the internal methylenes of the diamide segments. We conclude that solid-state NMR alone cannot distinguish between trans and gauche conformations about bond 3 for $y \geq 4$.

It can be stated, however, that bond 4 must be in a trans conformation since a gauche conformation would shift the α -CH₂ resonance upfield and this is not observed. Since the incremental d -spacing changes observed by XRD require the introduction of gauche conformations into the y -segment as y increases from 2 to 3 to 4, it is likely that the gauche conformations are localized on bond 3.

The esters of trimethylene glycol in the $y = 3$ poly(ester amides) represent a unique case. Here bond 4 and bond 3 are symmetrically equivalent. We propose that in the $y = 3$, $x = 4$ poly(ester amide), the CH₂CH₂CH₂ group in the y -segment exists in a gauche-gauche conformation so that both α -methylenes experience shielding by a γ -gauche interaction and shift to 61.0 ppm as a sharp singlet. This is in agreement with literature data on poly(trimethylene terephthalate) (PTMT),²⁵ a polyester analogue of $y = 3$. Accordingly, the conformations of the CH₂CH₂CH₂ segment of crystalline PTMT are gauche-gauche, with identical conformations present in two trimethylene glycol dibenzoate model compounds. Figure 2 is typical of poly(ester amides) with $y = 3$ and $x = 6, 8$, and 14, where a broad resonance centered at about 63 ppm is observed. This is consistent with either a distribution of conformers or a rapid interconversion of trans and gauche bonds, so that an average shift is observed.

Literature data on polyesters with pentamethylene and nonamethylene sequences in their chains are not available

to serve as analogues for our $y = 5$ and $y = 9$ poly(ester amides). However, the excellent agreement between our observations of the conformations involving the α -CH₂ groups in poly(ester amides) with $y = 2, 3$, and 4 allows us to proceed one step further. By extension, and from the combined X-ray and solid-state NMR results, we conclude that in the poly(ester amides) with $y = 5$ and $y = 9$, the OCH₂CH₂ groups each contains a gauche conformation.

To evaluate the effects of crystallinity on the solid-state carbon-13 NMR results, three samples of the poly(ester amide) $y = 3$, $x = 14$ were prepared. One was quick-quenched from the isotropic melt with no crystallinity detectable by X-ray techniques, the second was annealed for several hours upon cooling from the melt to obtain a sample with about 60% crystallinity, and the third sample was in the "as-prepared" state with about 35% crystallinity. The solid-state carbon-13 NMR spectra of the three samples in the range of interest (60–70 ppm) were essentially identical. In the course of this work a few additional spectra were obtained from different batches of the same $y = 3$ or $y = 5$ poly(ester amides). In no case were differences in the 60–70 ppm range observed which could be attributed to differences in degrees of crystallinity between the different batches. The above indicates that the solid-state carbon-13 NMR techniques is insensitive to the crystallinity of the poly(ester amides) with $y \geq 3$. We believe the reason for this is that the α -CH₂ groups in the y -segments of $y \geq 3$ are already in the gauche state in the crystalline phase and this conformation does not change when the poly(ester amide) turns mesomorphic or amorphous.

It is important to recognize that all the poly(ester amides) with $y \geq 3$ and $x > 5$ are characterized by smectic-type XRD patterns. They all contain gauche conformers in their y -segments. Importantly, they all exhibit thermotropic liquid crystalline behavior.¹ On the other hand, none of the $y = 2$ poly(ester amides) showed any propensity toward mesomorphic behavior.¹ Their y -segments are only trans in the crystalline state and, at present, we do not know whether the chains are merely tilted with respect to the draw direction or are in a zigzag configuration. Either way, the existence of the y -segments of $y = 2$ polymers exclusively in trans conformation appears to be related to the inability of these poly(ester amides) to pass through a mesomorphic state. Conversely, the presence of gauche conformers in the y -segments of $y \geq 3$ poly(ester amides) allows for thermotropic liquid crystallinity.

Conclusions

The X-ray data for poly(ester amides) with $y \geq 3$ and $x \geq 5$ indicate the existence of smectic liquid crystalline order. The repeat distance in the draw direction is essentially the same for the crystalline and mesomorphic polymers.

In our poly(ester amides) the conformations in the x -alkylene segments are exclusively trans, while the conformations in the y -alkylene segments include both trans and gauche states.

The presence of gauche conformers in the y -segments allows the chains in the crystalline and mesomorphic states to bend and adopt an overall zigzag configuration.

The conformation of the y -segments involving the α -CH₂ groups is solely trans for the crystalline poly(ester amides) with $y = 2$. In the crystalline and mesomorphic states of the poly(ester amides) with $y = 3$, the conformations about the α -CH₂ groups are less defined and appear to be present as a distribution of, and/or rapidly interconvert between,

trans and gauche conformers. For the polymers with $y = 4, 5$, and 9 , the conformations of bond 3 have been shown to be gauche.

We speculate that in the mesomorphic state, the extended segments containing the aromatic and x -alkylene residues can rotate and delineate cones of rotation. In the crystalline state their rotational motion is inhibited. The repeat distance in the draw direction is essentially the same in both states. This is necessary in order to support the highest number of H-bonds. The ability to rotate is probably due to the presence of gauche conformers in the y -alkylene segments, explaining why no mesomorphicity was observed in the fully trans $y = 2$ polymers.

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Registry No. Poly(ester amide) $y = 3, x = 1$, 114677-86-0; poly(ester amide) $y = 3, x = 3$, 114677-88-2; poly(ester amide) $y = 3, x = 4$, 114677-89-3; poly(ester amide) $y = 3, x = 7$, 114677-92-8; poly(ester amide) $y = 3, x = 8$, 114677-93-9; poly(ester amide) $y = 3, x = 11$, 114677-95-1; poly(ester amide) $y = 3, x = 14$, 114677-97-3; poly(ester amide) $y = 5, x = 11$, 114678-16-9; poly(ester amide) $y = 5, x = 14$, 114678-18-1; poly(ester amide) $y = 9, x = 3$, 114678-20-5; poly(ester amide) $y = 9, x = 4$, 114678-21-6; poly(ester amide) $y = 9, x = 7$, 114678-22-7; poly(ester amide) $y = 9, x = 8$, 114678-23-8; poly(ester amide) $y = 9, x = 11$, 114678-24-9; poly(ester amide) $y = 9, x = 12$, 114691-78-0; poly(ester amide) $y = 9, x = 14$, 114678-25-0; poly(ester amide) $y = 2, x = 14$, 114677-84-8; poly(ester amide) $y = 3, x = 14$, 114677-97-3; poly(ester amide) $y = 4, x = 14$, 114678-08-9; poly(ester amide) $y = 5, x = 14$, 114678-18-1; poly(ester amide) $y = 2, x = 7$, 114677-82-6; poly(ester amide) $y = 4, x = 7$, 114678-04-5; poly(ester amide) $y = 2, x = 4$, 114677-81-5; poly(ester amide) $y = 3, x = 6$, 114677-91-7; poly(ester amide) $y = 4, x = 4$, 114678-01-2; poly(ester amide) $y = 5, x = 4$, 114678-10-3.

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Dependence of the Packing Structure of Mesogenic Groups on the Flexible Spacer Length of Liquid Crystalline Side-Chain Polymers

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ABSTRACT: Poly(cholesteryl ω -(methacryloyloxy)alkanoates) (pChMO- n , $n = 1-5, 7, 9-11, 15$, the carbon number of the alkyl chain), which are liquid crystalline side-chain polymers, were studied by differential scanning calorimetry and by small-angle X-ray scattering. The clearing point decreases with increasing n up to 7 and shows the even-odd effect, but it becomes almost constant with $n > 7$. The plot of transition entropy at the clearing point against n has a sharp negative inflection at $n = 9$. X-ray investigations show that the packing of mesogenic groups in pChMO- n with short spacers ($n = 1-7$) differs from that in pChMO- n with longer ones ($n = 9-15$). These two types of packing coexist in pChMO- n ($n = 9-11$) below their phase transition temperatures.

Introduction

Liquid crystalline side-chain polymers combine the properties of low molecular weight liquid crystals with those of polymers.¹⁻³ Investigations during this decade have shown that a flexible spacer linking the mesogenic

group to the polymer main chain plays an important role in the realization of the liquid crystalline state.⁴

Finkelmann and Rehage have summarized the data on a number of liquid crystalline side-chain polymers and showed that the clearing point (T_d) of these polymers generally decreases with increasing length of the flexible spacer.⁵ Recently, however, Simon and Coles have reported on a side-chain polysiloxane in which T_d increases

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